

Conversion of the LC/NACO Authority File to RDA

Adam Schiff
University of Washington Libraries
OLA 2012 Conference

Available at
<http://faculty.washington.edu/aschiff/>

OLA 2012

Review of Key Changes from AACR2 to RDA

- Abbreviations spelled out; Latin replaced by English
- Not all qualifiers for personal names used in AACR2 are valid in RDA (e.g., terms of honour, terms of address, title of position or office, initials of an academic degree, initials denoting membership in an organization)
- Profession or occupation added in parentheses and capitalized in RDA
- Terms like *Jr.*, *Sr.*, *fils*, *père* and numbers (e.g., *III*) will be recorded as part of the person's name (no longer added just when needed to break a conflict)
- Conference names include frequency, omit year of convocation, and place added to qualifier even if it is in the name

OLA 2012

Review of Key Changes from AACR2 to RDA

- Selections not used on its own in uniform titles. *Works*. *Selections* used instead
- If a single expression of a work involves more than one language, each of the languages is recorded separately in RDA (i.e., separate access points for each expression). *Polyglot* not used anymore. Ampersand between two languages not used either.
- Koran becomes *Qur'an*
- *Old Testament* and *New Testament* spelled out when used, but no longer interposed between Bible and books of the Bible in authorized access points

OLA 2012

Review of Key Changes from AACR2 to RDA

- Librettos are entered under the name of the author of the libretto
- Treaties are named using the entity named first in documents or reference sources (rather than alphabetical order). Many treaties entered as title under AACR2 (authority 130 field) will be entered under the name of a jurisdiction or corporate body under RDA

OLA 2012

Personal Name Headings – Dates

AACR2 22.17A

Smith, John, 1924-
Smith, John, 1900 Jan. 10-
Smith, John, 1836 or 7-1896
Smith, John, ca. 1837-1896
Smith, John, 1837-ca. 1896
Smith, John, ca. 1837-ca.
1896
Smith, John, b. 1825

Smith, John, d. 1859

RDA 9.3.2.3, 9.3.3.3, 9.19.1.3

Smith, John, 1924-
Smith, John, 1900 **January** 10-
Smith, John, 1836 or **1837**-1896
Smith, John, **approximately** 1837-1896
Smith, John, 1837-**approximately** 1896
Smith, John, **approximately** 1837-
approximately 1896
Smith, John, **born** 1825
LC practice: Smith, John, **1825-**
Smith, John, **died** 1859
LC practice: Smith, John, **-1859**

OLA 2012

RDA Appendix B does not contain any abbreviations for months. Other terms associated with dates that are abbreviated in AACR (b., d., fl., cent.) are spelled out if used in RDA records because abbreviations should not be used. “ca.” is replaced by “approximately”.

9.3.2.3 If the person was born in the same year as another person with the same name, record the date of birth in the form [year] [month] [day]. Record the month in the language and script preferred by the agency creating the data.

LC Policy Statement 9.3.2.3: *LC practice:* Use a hyphen after date of birth; do not use the term “born” with the date.

LC Policy Statement 9.3.3.3: *LC practice:* Use a hyphen before the date of death; do not use the term “died” with the date.

Note: the various dates associated with a person (birth, death, period of activity) are *separate* elements in RDA. But because they all map to MARC X00 subfield \$d, something extra (e.g., “born”, “died”, or a hyphen between, before, or after) is needed when encoding those RDA elements in access points to give the dates meaning. So the last two examples in this slide are not pure RDA, they are “RDA in MARC.”

Personal Name Headings – Dates

AACR2 22.17A

RDA 9.3.4.3, 9.19.1.3

Johnson, Carl F., fl. 1893-1940	Johnson, Carl F., flourished 1893-1940 <i>LC practice:</i> Johnson, Carl F., active 1893-1940
Joannes, Diaconus, 12th cent.	Joannes, Diaconus, 12th century
Joannes, Actuarius, 13th/ 14th cent.	Joannes, Actuarius, 13th/14th century
Lin, Li, jin shi 1152	Lin, Li, jin shi 1152

OLA 2012

RDA Appendix B does not contain any abbreviations for months. Other terms associated with dates that are abbreviated in AACR (b., d., fl., cent.) are spelled out if used in RDA records because abbreviations should not be used. “ca.” is replaced by “approximately”.

9.3.4.3 If the person’s date of birth and date of death are both unknown, record a date or range of dates indicative of the person’s period of activity applying the basic instructions on recording dates associated with persons given under 9.3.1.

In AACR2, flourished dates are not used for dates within the twentieth century. There is no such limitation on recording years of activity in RDA.

LC Policy Statement 9.3.4.3: *LC practice:* Use “active” and “century” rather than the abbreviations “fl.” and “cent.”

Note: the various dates associated with a person (birth, death, period of activity) are *separate* elements in RDA. But because they all map to MARC X00 subfield \$d, something extra (e.g., “born”, “died”, or a hyphen between, before, or after) is needed when encoding those RDA elements in access points to give the dates meaning. So the first example in this slide is not pure RDA, it is “RDA in MARC.”

Personal Name Headings – Qualifiers

AACR2 22.19, LCRI 22.19

RDA 9.19.1.1, 9.19.1.2, 9.19.1.6

Johannes (Notary)

Johannes (Notary)

Thomas (Anglo-Norman poet)

Thomas (Anglo-Norman poet)

Smith, John, Sir

Smith, John (*[Profession or Occupation]*)
or Smith, John *[undifferentiated]*

Brown, George, Captain

Brown, George (*Soldier*)
or Brown, George *[undifferentiated]*

Brown, George, F.I.P.S.

Brown, George (*[Profession or Occupation]*)
or Brown, George *[undifferentiated]*

Brown, George, Rev.

Brown, George (*Clergyman*)

Brown, George, Ph. D.

Brown, George (*[Profession or Occupation]*)
or Brown, George *[undifferentiated]*

Brown, George, flutist

Brown, George (*Flutist*)

22.19A1. If neither a fuller form of name nor dates are available to distinguish between identical headings of which the entry element is a given name, etc., devise a suitable brief term and add it in parentheses.

22.19B1. If neither a fuller form of name nor dates are available to distinguish between identical headings of which the entry element is a surname, add a qualifier (e.g., term of honour, term of address, title of position or office, initials of an academic degree, initials denoting membership in an organization) that appears with the name in works by the person or in reference sources. Add the qualifier after the last element of the name.

In RDA 9.19.1.2, titles or other designations added to names are limited to:

- a) a title of royalty (see 9.4.1.4) or nobility (see 9.4.1.5)
- b) the term Saint (see 9.6.1.4)
- c) title of religious rank (see 9.4.1.6-9.4.1.8)
- d) the term Spirit (see 9.6.1.5)
- e) a term indicating profession or occupation (see 9.16) or field of activity of the person (see 9.15), in that order of preference, for a person whose name consists of a phrase or appellation not conveying the idea of a person.

9.19.1.6 If none of the elements specified under 9.19.1.3 (date of birth and/or death), 9.19.1.4 (fuller form of name), or 9.19.1.5 (period of activity of the person) is available to distinguish one access point from another, add a term indicating the profession or occupation of the person (see 9.16).

9.19.1.1 If no suitable addition is available, use the same access point for all persons with the same name, and use an undifferentiated name indicator (see 8.11) to designate the name as one that is undifferentiated.

Note: There are no restrictions on using profession as an addition to access points in RDA, which means there will be fewer undifferentiated names than in AACR2.

Note: The parenthetical addition to the access point is encoded in X00 subfield \$c.

Note: F.I.P.S. in the AACR2 example stands for Fellow of the Incorporated Phonographic Society. That George Brown authored several shorthand manuals.

Note: The original release of RDA (9.19.1.7) also allowed the addition of Field of Activity to distinguish one access point from another. However at the November 2011 meeting of the Joint Steering Committee, the JSC approved a proposal to remove Field of Activity as a possible addition to access points. This change will be reflected in a future RDA update.

Conferences, Congresses, Fairs, Festivals, etc.

AACR2 24.7A1, 24.8B1

RDA 11.2.2.11, 11.13.1.8

Comparative Canadian Literature Conference	Annual Comparative Canadian Literature Conference
Symposium on Active Control of Vibration and Noise	Biennial Symposium on Active Control of Vibration and Noise
Jean Piaget Society. Meeting	Jean Piaget Society. Annual Meeting
Expo 86 (Vancouver, B.C.)	Expo (1986 : Vancouver, B.C.)
Festival of Flowers '94 (Itanagar, India)	Festival of Flowers (1994 : Itanagar, India)
Vancouver Conference on Modernism (1981)	Vancouver Conference on Modernism (1981 : Vancouver, B.C.)
Salzburger Festspiele (2008)	Salzburger Festspiele (2008 : Salzburg, Austria)
Auckland Art Fair (2009)	Auckland Art Fair (2009 : Auckland, N.Z.)

OLA 2012

In RDA there is one instruction for conferences, congresses, meetings, exhibitions, fairs, festivals, etc. (11.2.2.11). This results in the following changes to AACR2: frequency will be retained in the preferred name of a conference, congress, meeting, etc. (24.7A1). Year of convocation will be omitted from the preferred name of exhibitions, fairs, festivals, etc. (24.8A1), but will be included as an addition to the authorized access point. Location will be included as an addition to the authorized access point even if the location is part of the preferred name of the body (24.7B4, 24.8B1).

24.7A1. Omit from the name of a conference, etc. (including that of a conference entered subordinately, see 24.13), indications of its number, *frequency*, or year(s) of convocation.

24.7B4. If the location is part of the name of the conference, etc., do not repeat it.

24.8B1. As instructed in 24.7B, add to the name of an exhibition, fair, festival, etc., its number, date, and location. Do not add the date and/or location if they are integral parts of the name.

11.2.2.11 Omit from the name of a conference, congress, meeting, exhibition, fair, festival, etc., (including that of a conference, etc., treated as a subordinate body, see 11.2.2.14), indications of its number, or year or years of convocation, etc.

Conferences, etc.: Multiple Locations

Change from AACR2: add all locations to qualifier; separate each by semicolon.

AACR2 24.7B4

Symposium on Breeding and
Machine Harvesting of
Rubus and Ribes (1976 : East
Malling, England, and
Dundee, Scotland)

Conference on the Appalachian
Frontier (1985 : James
Madison University and
Mary Baldwin College)

Danish-Swedish Analysis
Seminar (1995 :
Copenhagen, Denmark, etc.)

RDA 11.3.2, 11.13.1.8

Symposium on Breeding and
Machine Harvesting of
Rubus and Ribes (1976 : East
Malling, England; Dundee,
Scotland)

Conference on the Appalachian
Frontier (1985 : James
Madison University; Mary
Baldwin College)

Danish-Swedish Analysis
Seminar (1995 :
Copenhagen, Denmark;
Lund, Sweden; Paris, France)

OLA 2012

24.7B4. If the sessions of a conference, etc., were held in two locations, add both names.

World Peace Congress (1st : 1949 : *Paris, France, and Prague, Czechoslovakia*)

Institute on Diagnostic Problems in Mental Retardation (1957 : *Long Beach State College and San Francisco State College*)

If the sessions of a conference, etc., were held in three or more locations, add the first named place followed by *etc.*

International Conference on Alternatives to War (1982 : *San Francisco, Calif., etc.*)

11.13.1.8 If the sessions of a conference, etc., were held in two or more locations, add each of the place names.

When included in an access point, multiple locations will be separated by a semicolon (RDA E.1.2.4).

E.1.2.4 Enclose the number, date, and location of a conference, etc., in parentheses. Separate the number, date, and location by a space, colon, space. Separate multiple locations by a semicolon.

Enclose the number, date, and location of an exhibition, etc., in parentheses. Separate the number, date, and location by a space, colon, space. Separate multiple locations by a semicolon.

Parts of the Bible

AACR2 25.18A

Bible. \$p O.T.
Bible. \$p N.T.
Bible. \$p O.T. \$p Ezra
Bible. \$p N.T. \$p Revelation
Bible. \$p N.T. \$p Corinthians, 1st
Bible. \$p O.T. \$p Genesis XI, 26-XX, 18
Bible. \$p O.T. \$p Pentateuch
Bible. \$p N.T. \$p Gospels
Bible. \$p O.T. \$p Apocrypha

RDA 6.23.2.9, 6.30.2.2

Bible. \$p **Old Testament**
Bible. \$p **New Testament**
Bible. \$p **Ezra**
Bible. \$p **Revelation**
Bible. \$p **Corinthians, 1st**
Bible. \$p **Genesis, XI, 26-XX, 18**
Bible. \$p **Pentateuch**
Bible. \$p **Gospels**
Bible. \$p **Apocrypha**

In RDA, individual books and groups of books of the Bible are recorded as a subdivision of Bible, rather than as a subdivision of O.T. or N.T.

OLA 2012

25.18A1. General rule

Enter a Testament as a subheading of *Bible*. Enter a book of the Catholic or Protestant canon as a subheading of the appropriate Testament.

25.18A2. Testaments

Enter the Old Testament as **Bible. O.T.** and the New Testament as **Bible. N.T.**

6.23.2.9.1 For the Old Testament, record *Old Testament* as a subdivision of the preferred title for the Bible.

For the New Testament, record *New Testament* as a subdivision of the preferred title for the Bible.

6.23.2.9.2 For books of the Catholic or Protestant canon, record the brief citation form of the Authorized Version as a subdivision of the preferred title for the Bible.

If the book is one of a numbered sequence of the same name, record its number after the name as an ordinal numeral. Use a comma to separate the name and the number. If the resource being described is part of a book (other than a single selection known by its title), add the chapter (in roman numerals) and verse (in arabic numerals). Use inclusive numbering if appropriate. Use commas to separate the name of the book, the number of the chapter, and the number of the verse or verses.

6.23.2.9.3 For the following groups of books, record the name given below as a subdivision of the preferred title for the Bible. ...

Uniform Titles

AACR2 25.5C1

100 1_ \$a Blackstone, Stella.
 240 10 \$a Bear in a square. \$l
 French & English
 245 10 \$a Bear in a square =
 \$b L'ours dans le carré / \$c
 Stella Blackstone ;
 [illustrations by] Debbie
 Harter ; [translation by
 Servane Champion].
 246 31 \$a Ours dans le carré

RDA 6.11.1.4, 17.10, 24.5.1.3

100 1_ \$a Blackstone, Stella, \$e
 author.
 245 10 \$a Bear in a square = \$b
 L'ours dans le carré / \$c Stella
 Blackstone ; [illustrations by]
 Debbie Harter ; translation by
 Servane Champion.
 246 31 \$a Ours dans le carré
 700 12 \$a Blackstone, Stella. \$t
 Bear in a square. \$l English.
 700 12 \$a Blackstone, Stella. \$t
 Bear in a square. \$l French.

*Only predominant or first-named expression manifested is required.
 Second 700 is optional, so it is shown in italics.*

25.5C1. If the linguistic content of the item being catalogued is different from that of the original (e.g., a translation, a dubbed motion picture), add the name of the language of the item to the uniform title. Precede the language by a full stop.

Do not add the name of the language to a uniform title for a motion picture with subtitles.

If an item is in two languages, name both. If one of the languages is the original language, name it second.

Otherwise, name the languages in the following order: English, French, German, Spanish, Russian, other languages in alphabetic order of their names in English. If an item is in three or more languages, use *Polyglot* unless the original work is in three or more languages (e.g., a multilateral treaty), in which case give all the languages in the order specified above.

In RDA if a single expression of a work involves more than one language, record each of the languages (RDA 6.11.1.4). RDA does not include the limitation in AACR2 on motion pictures with subtitles.

17.10 Expression Manifested

Expression manifested is a core element if there is more than one expression of the work manifested. If more than one expression is embodied in the manifestation, only the predominant or first-named expression manifested is required.

LCPS for 17.10: *LC practice for Core element*: For resources other than compilations, this core element is covered by the authorized access point for the expression when present in a MARC bibliographic record (not possible to give this core element separately in a MARC record).

For compilations of expressions, give an analytical authorized access point for the predominant or first expression in the compilation when it represents a substantial part of the resource. Disregard contributions such as a preface or introductory chapter. Generally, do not apply this core element to anthologies of poetry, conference proceedings, journals, collections of interviews or letters, and similar resources.

Note: Only the first 700 in the example is required. It would be cataloger's judgment as to which to give if only giving one (although RDA says that it would be either the "predominant or first-named expression"). Not giving access to the French translation in the example above would probably be a disservice to users, however. Giving the language of the original expression is not something that U.S. libraries have done for AACR2 (e.g., LC only gives the language of expression for a translation). This policy will need to be reconsidered if RDA is implemented in the U.S.

24.5.1.3 Record an appropriate term from the list in appendix J to indicate the nature of the relationship more specifically than is indicated by the defined scope of the relationship element itself. However, since the second indicator "2" specifies the nature of the relationship, the designator is not used in the example in this slide.

Uniform Titles

AACR2 25.5C1

100 0_ \$a Euripides.
 240 10 \$a Bacchae. \$l Polyglot
 245 10 \$a Euripidis "Bacchae" :
 \$b graecus textus, latina et
 italica e graeco translatio,
 criticae animadversiones / \$c
 curantibus Cleto Pavanetto,
 Laetitia Greco Manghisi.

RDA 6.11.1.4, 17.10, 24.5.1.3

100 0_ \$a Euripides, \$e author.
 245 10 \$a Euripidis "Bacchae" : \$b
 graecus textus, latina et italica e
 graeco translatio, criticae
 animadversiones / \$c curantibus
 Cleto Pavanetto, Laetitia Greco
 Manghisi.
 700 02 \$a Euripides. \$t Bacchae. \$l
 Greek.
 700 02 \$a Euripides. \$t Bacchae. \$l
 Latin.
 700 02 \$a Euripides. \$t Bacchae. \$l
 Italian.

Only predominant or first-named expression manifested is required. Second and third 700s are optional, so they are shown in italics.

25.5C1. If the linguistic content of the item being catalogued is different from that of the original (e.g., a translation, a dubbed motion picture), add the name of the language of the item to the uniform title. Precede the language by a full stop.

Do not add the name of the language to a uniform title for a motion picture with subtitles.

If an item is in two languages, name both. If one of the languages is the original language, name it second.

Otherwise, name the languages in the following order: English, French, German, Spanish, Russian, other languages in alphabetic order of their names in English. If an item is in three or more languages, use *Polyglot* unless the original work is in three or more languages (e.g., a multilateral treaty), in which case give all the languages in the order specified above.

In RDA if a single expression of a work involves more than one language, record each of the languages (RDA 6.11.1.4). RDA does not include the limitation in AACR2 on motion pictures with subtitles.

17.10 Expression Manifested

Expression manifested is a core element if there is more than one expression of the work manifested. If more than one expression is embodied in the manifestation, only the predominant or first-named expression manifested is required.

LCPS for 17.10: *LC practice for Core element*: For resources other than compilations, this core element is covered by the authorized access point for the expression when present in a MARC bibliographic record (not possible to give this core element separately in a MARC record). For compilations of expressions, give an analytical authorized access point for the predominant or first expression in the compilation when it represents a substantial part of the resource. Disregard contributions such as a preface or introductory chapter. Generally, do not apply this core element to anthologies of poetry, conference proceedings, journals, collections of interviews or letters, and similar resources.

Note: Only the first 700 in the example is required. But it would probably be a disservice to users to provide an access point for one of the language expressions and not the others.

24.5.1.3 Record an appropriate term from the list in appendix J to indicate the nature of the relationship more specifically than is indicated by the defined scope of the relationship element itself. *However, the second indicator value "2" in this example already indicates the type of relationship, so a designator is not used.*

LCPS for 6.11.1.3. Greek. *LC practice*: For the MARC language code list forms "Attic Greek," "Greek, Ancient (to 1453)," and "Greek, Modern (1453-)," use "Greek." However, if the item is a translation from one specific Greek form into another Greek form, or contains text in two specific forms, use the specific form(s) within parentheses following "Greek." In specifying the form of the Greek, use one of the following terms: "Greek (Ancient Greek)" for the period before 300 B.C.; "Greek (Hellenistic Greek)" for the period 300 B.C.-A.D. 600; "Greek (Biblical Greek)" for the Septuagint and the New Testament; "Greek (Medieval Greek)" for the period 600-1453; "Greek (Modern Greek)" for the period 1453-

Uniform Titles

AACR2 25.9

100 1_ \$a Baldwin, James, \$d
1924-1987.
240 10 \$a Selections. \$f 2004
245 10 \$a Vintage Baldwin / \$c
James Baldwin.
260 \$a New York : \$b
Vintage Books, \$c c2004.
505 *Contents note*

RDA 6.2.2.10.3 Alternative, 6.27.3, 17.8/17.10

100 1_ \$a Baldwin, James, \$d
1924-1987, \$e author.
240 10 \$a Works. \$k Selections.
\$f 2004
245 10 \$a Vintage Baldwin / \$c
James Baldwin.
260 \$a New York : \$b Vintage
Books, \$c [2004], ©2004.
505 *Contents note*
and/or
700 12 *Analytical access point(s)*

Only predominant or first-named work manifested is required. This could be given either in a description (contents note) or as an analytical access point, or both.

25.9A. Use the collective title *Selections* for items consisting of three or more works in various forms, or in one form if the person created works in one form only, and for items consisting of extracts, etc., from the works of one person. For musical works, see also 25.34B-25.34C.

6.2.2.10 Record the preferred title for a compilation of works applying the instructions given under 6.2.2.10.1-6.2.2.10.3, as applicable.

6.2.2.10.1 Record the conventional collective title *Works* as the preferred title for a compilation of works that consists of, or purports to be, the complete works of a person, family, or corporate body, including those that are complete at the time of publication.

6.2.2.10.2 Record one of the following conventional collective titles as the preferred title for a compilation of works (other than music, see 6.14.2.8) that consists of, or purports to be, the complete works of a person, family, or corporate body, in one particular form.

Correspondence; Essays; Novels; Plays; Poems; Prose works; Short stories; Speeches

If none of the above is appropriate, record an appropriate specific collective title (e.g., *Posters, Fragments, Encyclicals*).

If the compilation consists of two or more but not all the works of one person, family, or corporate body in a particular form, apply the instructions given under 6.2.2.10.3.

6.2.2.10.3 For a compilation consisting of:

a) two or more but not all the works of one person, family, or corporate body, in a particular form

or b) two or more but not all the works of one person, family, or corporate body, in various forms

record the preferred title for each of the works in the compilation applying the basic instructions on recording titles of works given under 6.2.1.

Alternative

Instead of (or in addition to) recording the preferred title for each of the works in the compilation, record a conventional collective title as instructed under 6.2.2.10.1 or 6.2.2.10.2, as applicable, followed by *Selections*.

LCPS for 6.2.2.10.3: *LC practice for Alternative*: Instead of recording the preferred title for each of the works in the compilation, record a conventional collective title followed by "Selections." Give an authorized access point for the first or predominant work (RDA 17.8) or expression (RDA 17.10).

LCPS for 6.27.3: Conventional Collective Titles Beginning with "Works." *LC practice/PCC practice*: Routinely add the date of expression to the authorized access point using a conventional collective title that begins with "Works" as the preferred title.

17.8 Work Manifested

If more than one work is embodied in the manifestation, only the predominant or first-named work manifested is required.

Note: Since more than one work is in this manifestation, the cataloger has to record a work manifested by either (1) an identifier, (2) authorized access point, and/or (3) description. So in the RDA example, either a 505 contents note would be included or at minimum an access point for the predominant or first-named work manifested.

Documentation

- **Final Report / PCC Task Group on AACR2 & RDA Acceptable Heading Categories**
[http://www.loc.gov/catdir/pcc/Report of the Task Group on AACR2 & RDA Acceptable Headings-1.docx](http://www.loc.gov/catdir/pcc/Report%20of%20the%20Task%20Group%20on%20AACR2%20&%20RDA%20Acceptable%20Headings-1.docx)
- **PCC Day One for RDA Authority Records**
[http://www.loc.gov/catdir/pcc/PCC Day One for RDA Authority Records.doc](http://www.loc.gov/catdir/pcc/PCC%20Day%20One%20for%20RDA%20Authority%20Records.doc)
- **PCC Acceptable Headings Implementation Task Group web page**
<http://files.library.northwestern.edu/public/pccahitg/>

OLA 2012

PCC Task Group on AACR2 & RDA Acceptable Heading Categories

- Discern three types of headings in the LC/NAF
 - AACR2 headings valid under RDA
 - AACR2 headings in need of change to be valid under RDA
 - Gray areas, where need for change is uncertain
- For second category, determine if possible to make changes using automated batch processes
- For third category, explain why each type is ambiguous and recommend how to proceed

OLA 2012

PCC Task Group on AACR2 & RDA Acceptable Heading Categories

- AACR2 headings valid under RDA with no change
 - 7,631,000 records (about 95.1% of AACR2 records)
- AACR2 headings in need of mechanical changes to be valid under RDA
 - 172,000 records (about 2.1% of AACR2 records)
- AACR2 headings containing elements not provided for by RDA or that are lacking required elements. Must be reviewed and upgraded before they can be used in RDA. Also includes pre-AACR2 and AACR2-compatible headings
 - 225,000 records (about 2.8% of AACR2 records)

OLA 2012

Recommended Phases

(PCC Acceptable Headings Implementation Task Group)

- Phase 1: add an identifying 667 to authority records whose 1XX fields cannot be used as is under RDA
 - THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL IT HAS BEEN REVIEWED INDIVIDUALLY
 - THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL IT HAS BEEN UPDATED

OLA 2012

THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL IT HAS BEEN REVIEWED INDIVIDUALLY: pre-AACR2 records (008/10 codes “a” and “b”), AACR2-compatible records (008/10 code “d”), records whose 1XX field is no longer valid for use in current work (008/10 code “n”), and AACR2 records whose 1XX field contains elements not suitable for use in RDA

THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL IT HAS BEEN UPDATED: AACR2 records whose 1XX fields contain elements not compatible with RDA, but can be modified by program to make them acceptable under RDA

In addition to labelling these records, the following operations will be performed on the records if appropriate and possible:

040 \$b “eng” added

046 field generated from 100 \$d

378 field generated from 100 \$q

Redundant 4XX fields removed

Reference evaluation byte (008/29) set to reflect the current state of the record

Recommended Phases

(PCC Acceptable Headings Implementation Task Group)

- Phase 2: modify authority records whose access fields (1XX, 4XX, 5XX) are subject to mechanical manipulation. Remove warning field 667 from such records.
 - ✓ Create 046 fields
 - ✓ Create 378 fields
 - ✓ Convert Dept.
 - ✓ Convert date abbreviations
 - ✓ Manipulate \$c text
 - ✓ Replace "arr.", "acc.", and "unacc."
 - ✓ Adjust Selections
 - ✓ Adjust Bible
 - ✓ Adjust Koran
 - ✓ Adjust Violoncello

OLA 2012

Recommended Phases

(PCC Acceptable Headings Implementation Task Group)

- Phase 3: convert AACR2 authority records to RDA
 - Any AACR2 authority record not still marked with a blocking 667 field will be re-coded as RDA and re-issued

OLA 2012

Any record examined in this phase will have 046 and 378 fields added to it, if appropriate and possible. In addition, these actions will be done:

040 \$b “eng” added

Redundant 4XX fields removed

Reference evaluation byte (008/29) set to reflect the current state of the record

Alternate Implementation Schemes

(PCC Acceptable Headings Implementation Task Group)

- Completion of phases 1-3 would take many months
- Dissatisfaction of original plan because
 - Some records must be reissued more than once
 - Nearly all records must be reissued; places substantial burden on sites maintaining local copies of the LC/NACO AF

OLA 2012

On April 13, 2012, the PCC Acceptable Headings Implementation Task Group sent out the following email:

“The PCC Acceptable Headings Implementation Task Group has received a number of comments suggesting that the work plan devised by the earlier PCC Task Group on AACR2 & RDA Acceptable Headings for manipulating the LC/NACO Authority File for use under RDA involves the unnecessary re-issuance of too many records over too great a span of time. The present task group has devised a number of alternate scenarios, described in the attached document. (This document is also available from the Task Group's download site: <http://files.library.northwestern.edu/public/pccahitg>) All these scenarios involve the performance of RDA-related mechanical changes described in documents previously distributed by the task group (‘Dept.’ becomes ‘Department’, for example), but differ in other changes to be made, and the schedule on which the changes are performed.

The task group invites public discussion of the merits of the original plan and the proposed alternates, and is happy to entertain suggestions for yet other possibilities. Even if you've expressed an opinion on this matter before, please do so again, as the audience may now be a bit broader.”

Alternate Implementation Schemes

(PCC Acceptable Headings Implementation Task Group)

	Status <i>always</i> explicit	Status <i>eventually</i> explicit	Records issued multiple times	667 used for non- RDA 1XX	Phases	046/378 present in all relevant records	All AACR2 records recoded as RDA	Approximate records issued
Original scheme	Y	Y	Y	Y	3	Y	Y	8,300,000
Alternate 1	N	N	N	N	1	N	N	328,000
Alternate 2	N	Y	N	Y	1	N	N	641,000
Alternate 3	N	Y	N	Y	2	N	N	641,000
Alternate 4	N	Y	Y	Y	3	Y	N	2,100,000
Alternate 5	N	Y	N	Y	1	Y	Y	8,300,000

OLA 2012

On April 13, 2012, the PCC Acceptable Headings Implementation Task Group sent out the following email:

“The PCC Acceptable Headings Implementation Task Group has received a number of comments suggesting that the work plan devised by the earlier PCC Task Group on AACR2 & RDA Acceptable Headings for manipulating the LC/NACO Authority File for use under RDA involves the unnecessary re-issuance of too many records over too great a span of time. The present task group has devised a number of alternate scenarios, described in the attached document. (This document is also available from the Task Group's download site: <http://files.library.northwestern.edu/public/pccahitg>) All these scenarios involve the performance of RDA-related mechanical changes described in documents previously distributed by the task group (‘Dept.’ becomes ‘Department’, for example), but differ in other changes to be made, and the schedule on which the changes are performed.

The task group invites public discussion of the merits of the original plan and the proposed alternates, and is happy to entertain suggestions for yet other possibilities. Even if you've expressed an opinion on this matter before, please do so again, as the audience may now be a bit broader.”

Alternate Implementation Schemes

(PCC Acceptable Headings Implementation Task Group)

- Task Group is now recommending Alternate Scheme 3, which has two phases:
- Records whose 1XX is not suitable for use under RDA without review (pre-AACR2, AACR2-compatible and certain AACR2 records) *and* which do not contain any field susceptible to an RDA-related mechanical change are reissued with an identifying 667 field. 046/378 fields are added to these records as appropriate
- Records containing a field susceptible to an RDA-related mechanical change are reissued as close to Day 1 as possible. Records in this group whose 1XX is not suitable for use under RDA without review (pre-AACR2, AACR2-compatible and certain AACR2 records) are labeled with an identifying 667 field. 046/378 fields are added to these records as appropriate.

OLA 2012

This scheme meets what the task group feels to be the minimum requirements for a successful implementation of RDA, and also satisfies the main points of dissatisfaction with the original scheme (records are only reissued once; records are not reissued solely to re-code them from AACR2 to RDA). This scheme appears to the group to achieve these critical goals while having the least possible impact on consumers of LC/NACO authority records.

The proposed alternate scheme does of course bring with it at least one important compromise: although this scheme *eventually* achieves the goal of labeling with a 667 field all records whose 1XX is not suitable for use under RDA, it does not achieve this goal until the completion of the second phase. Some records in this category are so labeled in phase 1, and the remaining are so labeled in phase 2. In the interval between phases 1 and 2, those working with records in the LC/NACO Authority File must be aware that some records whose 1XX is not suitable for use under RDA are not yet so labeled, and behave appropriately if the entity represented by the authority record is involved in some aspect of RDA-related work. This scheme does also not involve the addition of 046 and 378 fields to all relevant records; these fields are only added if a record is re-issued for another purpose. (In one estimate, about 1.5 million candidate records will *not* receive 046 or 378 fields.)

An alternate division of the work involves a different compromise. All records requiring a 667 field could be issued in phase 1, and if so issued any mechanical changes made to them are performed at the same time. All records requiring mechanical changes but not a 667 field are issued in phase 2. This achieves the labeling as early as possible of records whose 1XX cannot be used under RDA as is, but inserts a different disharmony into the interval between phases 1 and 2: some records will have "Dept.", some will have "Department."

Day One for RDA Authority Records

- Point after which all new authority records entering the LC/NACO Authority File must be coded RDA, and all *access points* on bibliographic records coded “pcc” must be RDA
- PCC libraries can continue to create AACR2 *descriptions* for a while (there is no RDA Day One for bib records), but all access points must be RDA. AACR2 authority records that have been flagged as not usable under RDA must be evaluated and re-coded to RDA
- March 31, 2013

OLA 2012

Examples of Changes

*AACR2 record before
conversion*

008 890501n| acannaab|
|n aaa
010 \$a n 88202651
040 \$a DLC \$c DLC \$d DLC
100 1_ \$a Smithers, Elaine
Vertz

RDA record after conversion

008 860501n| azannaab
|n aaa
010 \$a n 88202651
040 \$a DLC \$b eng \$e rda
\$c DLC \$d DLC
100 1_ \$a Smithers, Elaine
Vertz

OLA 2012

100 field acceptable for use as is under RDA. No changes except to fixed field coding for rules and 040

Examples of Changes

*AACR2 record before
conversion*

008 860501n| acannaab
|n aaa
010 \$a n 85325223
040 \$a DLC \$b eng \$c DLC
\$d Uk
100 1_ \$a Smith, Roland, \$d
1943 Apr. 11-

RDA record after conversion

008 860501n| azannaab
|a aaa
010 \$a n 85325223
040 \$a DLC \$b eng \$e rda
\$c DLC \$d Uk
046 \$f 19430411
100 1_ \$a Smith, Roland, |d
1943 **April** 11-
**400 1_ \$w nnea \$a Smith,
Roland, \$d 1943 Apr. 11-**

OLA 2012

100 field that can be used under RDA with changes (abbreviation in 100 \$d). The conversion will also add the coded birth date in an 046 field.

Examples of Changes

AACR2 record before conversion

008 961104n| acannaab |a ana c
010 \$a no 96057488 \$z n 92099552
040 \$a IWhN \$c IWhN \$d DLC
110 1_ \$a Chicago (Ill.). \$b Dept. of
Planning and Development
410 1_ \$a Chicago (Ill.). \$b Dept. of
Planning & Development
410 1_ \$a Chicago (Ill.). \$b Planning
and Development, Dept. of
510 1_ \$w a \$a Chicago (Ill.). \$b Dept.
of Planning

RDA record after conversion

008 961104n| azannaab |a ana c
010 \$a no 96057488 \$z n 92099552
040 \$a IWhN \$b eng \$e rda \$c IWhN
\$d DLC
110 1_ \$a Chicago (Ill.). \$b **Department**
of Planning and Development
410 1_ \$a Chicago (Ill.). \$b **Department**
of Planning & Development
410 1_ \$w nnea \$a Chicago (Ill.). \$b
Dept. of Planning and Development
410 1_ \$a Chicago (Ill.). \$b Planning
and Development, **Department** of
510 1_ \$w a \$a Chicago (Ill.). \$b
Department of Planning

OLA 2012

Examples of Changes

AACR2 record before conversion

008 800320n| acannaabn |a ana
010 \$a n 79139440
040 \$a DLC \$b eng \$c DLC \$d DLC \$d
OCoLC \$d DLC
130_0 \$a Bible. \$p O.T. \$p Judges
430_0 \$a Bible. \$p Judges
430_0 \$a Judges (Book of the Old
Testament)
430_0 \$a Shoŕtim (Book of the Old
Testament)
430_0 \$a Bible. \$p Shoŕtim
430_0 \$a Bible. \$p O.T. \$p Shoŕtim
430_0 \$a Bible. \$p Quđāh
430_0 \$a Bible. \$p O.T. \$p Quđāh

RDA record after conversion

008 800320n| azannaabn |a ana
010 \$a n 79139440
040 \$a DLC \$b eng **\$e rda** \$c DLC \$d DLC
\$d OCoLC \$ d DLC
130_0 \$a Bible. \$p Judges
430_0 \$w nnea \$a Bible. \$p O.T. \$p Judges
430_0 \$a Bible. \$p Old Testament. \$p Judges
430_0 \$a Judges (Book of the Old Testament)
430_0 \$a Shoŕtim (Book of the Old
Testament)
430_0 \$a Bible. \$p Shoŕtim
430_0 \$a Bible. \$p **Old Testament.** \$p
Shoŕtim
430_0 \$a Bible. \$p Quđāh
430_0 \$a Bible. \$p **Old Testament.** \$p Quđāh

OLA 2012

Examples of Changes

AACR2 record before re-coding

008 020513n| acannaabn |n aaa
010 \$a n 2002102150
040 \$a DLC \$b eng \$c DLC
100 1_ \$a Jackson, Margaret, \$c Dr.
670 \$a Hughes on data protection in
Australia, 2001: \$b t.p. (Dr.
Margaret Jackson; prof. of
computer law, RMIT Univ.)

AACR2 record after re-coding

008 020513n| acannaabn |n aaa
010 \$a n 2002102150
040 \$a DLC \$b eng \$c DLC
100 1_ \$a Jackson, Margaret, \$c Dr.
667 \$a THIS HEADING CANNOT BE
USED UNDER RDA UNTIL IT HAS
BEEN REVIEWED INDIVIDUALLY.
670 \$a Hughes on data protection in
Australia, 2001: \$b t.p. (Dr.
Margaret Jackson; prof. of
computer law, RMIT Univ.)

The final report of the PCC Task Group on AACR2 & RDA Acceptable Heading Categories suggested that a new 040 \$e code should be established meaning "AACR2, cannot be used under RDA until evaluated." However the idea of that extra coding was dropped a while ago, according to Gary Strawn (email to me, April 19, 2012).